Assurion	Certification / Re-Certification Application Form for Quotation	Doc: Assurion/F/01 Issue: 01 Rev.: 00 Date: 15/03/2026

Date of Application							
Name of the Company							
Address							
Website, Email and Phone number							
No of Sites							
Site 1 Address							
Site 2 Address (For more site attach separate Sheet)							
Contact Person Name and Designation							
Legal Status	Company: Private ☒ Public ☐ **Proprietorship** ☐ Partnership ☐ Govt Undertaken ☐ PSU ☐ NGO ☐ Other ☐						
Statutory and Regulatory Requirement							
Accreditation Required	UAF ☐ Others ☒						
Certification Scheme	ISO 27001:2022 ☒ ISO 42001:2023 ☒						
Scope of Certification							
Language of Audit	English						
Exclusion if any	**Clause**	**Justification**					
Outsourced Process, If any							
No. of Employees	**Location**	**Shifts**	**Full Time**	**Part time**	**Performing Same type of Job**	**Temporary Unskilled workers**	**Effective No. of Employees**

	Site 1						
	Site 2 (Temporary)						
	TOTAL						

Other Type of Employees (If Any):				
Certification Program Required	Initial ☒	Surveillance ☐	Recertification ☐	Transfer ☐
Combined Audit {In Case of Integration}	In the case of several certification programmes, would you like the audits to be Combined or carried out separately? ☒Yes ☐ No If the answer is yes, please specify which combination of Standards:			
	Is Internal Audit is Combined: ☒Yes ☐ No			
	Is MRM is Combined: ☒ Yes ☐ No			
	Is Manual, Procedures are Combined: ☐ Yes ☒ No			
	Is Implemented System is Integrated: ☐ Yes ☐ No			
Is Already Certified for any Standard	Yes ☐ No ☒ If Answer is Yes Mention Name of the Standard:			
Is Consultants Involved	Yes ☒ No ☐ If Answer is Yes Mention Name of the Consultants:			
Key Business Process Involved				

ISMS Specific		
Is an Information Security Management System (ISMS) already implemented?	☒ Yes	☐ No
Do you have documented ISMS policies and procedures?	☒ Yes	☐ No
Have you conducted an internal ISMS audit?	☒ Yes	☐ No
Have you conducted a management review meeting?	☒ Yes	☐ No
Do you have an assigned Information Security Officer/Manager?	☒ Yes	☐ No
Do you perform regular Information Security risk assessments and treatment?	☒ Yes	☐ No
Do you have an existing risk treatment plan?	☒ Yes	☐ No

Are security awareness training programs conducted for employees?	☒ Yes	☐ No
Have you experienced any security breaches in the past two years?	☒ Yes	☐ No
Confidentiality required by your company for confidential or sensitive information?	☒ Yes	☐ No
Availability of Access to organizational ISMS records for Assessment / Review?	☒ Yes	☐ No
Are all ISMS information / records can be made available for review to the audit team?	☒ Yes	☐ No
In case of confidential or sensitive information can't be revealed to the Audit team, kindly specify:		
Type of information / records can't be disclosed to Audit Team (Reason)		
Details of Statement of Applicability (SoA) version no, date and applicable controls summary (ISMS)		
Note:- Certification process will be focused on confirming that ISMS meets the requirements specified in ISO/IEC 27001 and the policies and objectives are met. Controls can be designed outside of Annex A.		
AIMS Specific		
Is a formal AI governance framework or AIMS implemented, and do you have a documented AI policy approved by leadership?	☒ Yes	☐ No
Role of the organization in the context of AI.	**AI Producer** (Organization or entity that is concerned with the development of AI services and products) ☐ **AI Developer and Provider** (Organization or entity that provides products or services that uses one or more AI system) ☐ **AI User** (Organization or entity that uses AI products or service) ☐ **Both** ☐	
Have you established a process to **classify AI systems by risk level** (e.g., high-risk vs. limited risk)?	☒ Yes	☐ No
Do you conduct and document **impact or risk assessments** (e.g., for bias, safety, rights) for your AI systems before and after deployment?	☒ Yes	☐ No
Do you maintain a **centralized inventory or register** of all your organization's AI systems and their purposes?	☒ Yes	☐ No
What specific measures are in place to **identify, assess, and mitigate bias** in your AI systems across their lifecycle?	☒ Yes	☐ No

Do you have defined processes for **human oversight and control** of AI systems, especially for high-risk applications?	☒ Yes	☐ No
Are there established Service Level Agreements (SLAs) with customers and vendors? Are there procedures for **transparency and providing information** to users when they interact with an AI system?	☒ Yes	☐ No
Do you have a formal process for **incident management** specific to AI failures or harmful outcomes?	☒ Yes	☐ No
Do you provide **AI ethics and competency training** to staff involved in developing, deploying, or managing AI systems?	☒ Yes	☐ No
Is there a process for the **continuous monitoring, auditing, and performance evaluation** of deployed AI systems?	☒ Yes	☐ No

Business and organization Complexity (Tick one in each box)	
Types of Business and regulatory Requirement	1. Organization works in non-critical business sector and non-regulated sector ☐ 2. The organization has customers in the critical business sector. ☐ 3. The organization works in the critical business sector. ☒
Process and Task	1. Standard Process with standard and repetitive task i.e., lots of persons doing work under the organization's control carrying out the same tasks, few products or services ☒ 2. Standard but not repetitive process with high number of products or services ☐ 3. Complex Process, High number of products and services, many business units included in scope of certification ☐
Level of establishment of the Management System	1. ISMS is already well established and/or another management system is in place. ☐ 2. Some elements of other Management system are implemented, others not ☒ 3. No other Management system implemented at all, ISMS is new and not established. ☐
IT Environment Complexity	
IT Infrastructure Complexity	1. Few or highly standardized IT platforms, servers, operating system, database, networks etc. ☒ 2. Several different IT platforms, servers, operating system, database, networks etc. ☐ 3. Many different IT platforms, servers, operating systems, database, networks etc. ☐
Dependency on outsourcing and suppliers including	1. Little or no dependency on outsourcing ☒ 2. Some dependency on outsourcing or suppliers, related to some but not all-important business activities. ☐ 3. High dependencies on outsourcing or supplier, large impact on important business activities. ☐

cloud services	
Information System Development	1. Non or very limited in-house system/application development ☐ 2. Some in house or outsourced system/application development for some important business purpose ☒ 3. Extension in house or outsourced system/application development for important business purpose ☐

DECLARATION: The above information is true to the best of my knowledge and belief and I am authorized to provide such information on behalf of the company.

Name		**Designation**		**Signature**	

Assurion Official Use

Can the Application Proceed for Application Review: ☐ Yes ☐ No

Name of Application reviewer		**Signature**		**Date**	